# On graphs with at most two internally disjoint Steiner trees connecting any three vertices\*

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#### **Abstract**

The problem of determining the smallest number of edges,  $h(n; \overline{\kappa} \geq r)$ , which guarantees that any graph with n vertices and  $h(n; \overline{\kappa} \geq r)$  edges will contain a pair of vertices joined by r internally disjoint paths was posed by Erdös and Gallai. Bollobás considered the problem of determining the largest number of edges  $f(n; \overline{\kappa} \leq \ell)$  for graphs with n vertices and local connectivity at most  $\ell$ . One can see that  $f(n; \overline{\kappa} \leq \ell) \geq h(n; \overline{\kappa} \geq \ell+1) - 1$ . These two problems had received a wide attention of many researchers in the last few decades. In the above problems, only pairs of vertices connected by internally disjoint paths are considered. In this paper, we study the number of internally disjoint Steiner trees connecting sets of vertices with cardinality at least 3.

**Keywords**: connectivity, internally disjoint Steiner trees, generalized connectivity, generalized local connectivity.

AMS subject classification 2010: 05C40, 05C05, 05C76.

### 1 Introduction

All graphs considered in this paper are undirected, finite and simple. We refer to book [5] for graph theoretical notation and terminology not described here. We call the number of vertices in a graph as the order of the graph and the number of edges of it as its size. For two distinct vertices in a connected graph G, we can connect them

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by a path. Two paths are called *internally disjoint* if they have no common vertex except the end vertices. For any two distinct vertices x and y in G, the local connectivity  $\kappa_G(x,y)$  is the maximum number of internally disjoint paths connecting x and y. Then  $\min\{\kappa_G(x,y)|x,y\in V(G),x\neq y\}$  is usually the connectivity of G. In contrast to this parameter,  $\overline{\kappa}(G)=\max\{\kappa_G(x,y)|x,y\in V(G),x\neq y\}$ , introduced by Bollobás, is called the maximal local connectivity of G. The problem of determining the smallest number of edges,  $h(n; \overline{\kappa} \geq r)$ , which guarantees that any graph with n vertices and  $h(n; \overline{\kappa} \geq r)$  edges will contain a pair of vertices joined by r internally disjoint paths was posed by Erdös and Gallai, see [1] for details.

Bollobás [2] considered the problem of determining the largest number of edges,  $f(n; \overline{\kappa} \leq \ell)$ , for graphs with n vertices and local connectivity at most  $\ell$ . Actually,  $f(n; \overline{\kappa} \leq \ell) = \max\{e(G)||V(G)| = n \text{ and } \overline{\kappa}(G) \leq \ell\}$ . Motivated by determining the precise value of  $f(n; \overline{\kappa} \leq \ell)$ , this problem has obtained wide attention and many results have been worked out, see [2, 3, 4, 15, 7, 8, 9, 13, 14]. One can see that  $h(n; \overline{\kappa} \geq \ell + 1) \leq f(n; \overline{\kappa} \leq \ell) + 1$ .

For  $\overline{\kappa}(G) \leq \ell$ , it was showed that  $f(n; \overline{\kappa} \leq \ell) \geq \lfloor \frac{\ell+1}{2}(n-1) \rfloor$ . Since  $f(n; \overline{\kappa} \leq \ell) = \lfloor \frac{\ell+1}{2}(n-1) \rfloor$  for  $\ell = 2, 3$ , Bollobás and Erdős conjectured that the equality holds, but this was disproved by Leonard [7] for  $\ell = 4$ , and later Mader [13] constructed graphs disproving it for every  $\ell \geq 4$ .

For a graph G(V, E) and a set  $S \subseteq V$  of at least two vertices, an S-Steiner tree or an Steiner tree connecting S (or simply, an S-tree) is a such subgraph T(V', E') of G that is a tree with  $S \subseteq V'$ . Two Steiner trees T and T' connecting S are internally disjoint if  $E(T) \cap E(T') = \emptyset$  and  $V(T) \cap V(T') = S$ . For  $S \subseteq V(G)$ , the generalized local connectivity  $\kappa_G(S)$  is the maximum number of internally disjoint trees connecting S in G. The generalized connectivity, introduced by Chartrand et al. in 1984 [6], is defined as  $\kappa_k(G) = \min\{\kappa(S)|S \subseteq V(G), |S| = k\}$ . There have been many results on the generalized connectivity, see [10, 11, 12].

Similar to the classical maximal local connectivity, we introduce another parameter  $\overline{\kappa}_k(G) = \max\{\kappa(S)|S\subseteq V(G), |S|=k\}$ , which is called the maximal generalized local connectivity of G. In this paper, we mainly study the problem of determining the largest number of edges,  $f(n; \overline{\kappa} \leq \ell)$ , for graphs with n vertices and local connectivity at most  $\ell$ . Actually,  $f(n; \overline{\kappa} \leq \ell) = \max\{e(G)||V(G)| = n \text{ and } \overline{\kappa}(G) \leq \ell\}$ . We also study the smallest number of edges,  $h(n; \overline{\kappa}_k \geq r)$ , which guarantees that any graph with n vertices and  $h(n; \overline{\kappa}_k \geq r)$  edges will contain a set S of k vertices such that there are r internally disjoint S-trees. One can see that  $h(n; \overline{\kappa}_k \geq \ell + 1) \leq f(n; \overline{\kappa}_k \leq \ell) + 1$ .

In this paper, we determine that  $f(n; \overline{\kappa}_3 \leq 2) = 2n - 3$  for  $n \geq 3$  and  $n \neq 4$ , and  $f(n; \overline{\kappa}_3 \leq 2) = 2n - 2$  for n = 4. Furthermore, we characterize graphs attaining these values. For general  $\ell$ , we construct graphs to show that  $f(n; \overline{\kappa}_3 \leq \ell) \geq \frac{\ell+2}{2}(n-2) + \frac{1}{2}$  for

both n and k odd, and  $f(n; \overline{\kappa}_3 \leq \ell) \geq \frac{\ell+2}{2}(n-2) + 1$  otherwise.

### 2 Some basic results

As usual, the *union* of two graphs G and H is the graph, denoted by  $G \cup H$ , with vertex set  $V(G) \cup V(H)$  and edge set  $E(G) \cup E(H)$ . The disjoint union of k copies of the same graph G is denoted by kG. The *join*  $G \vee H$  of two disjoint graphs G and H is obtained from  $G \cup H$  by joining each vertex of G to every vertex of H.

In this section, we first introduce a graph operation and two graph classes.

Let H be a connected graph, and u a vertex of H. We define the attaching operation at the vertex u on H as follows: (1) identifying u and a vertex of a  $K_4$ ; (2) u is attached with only one  $K_4$ . The vertex u is called an attaching vertex.

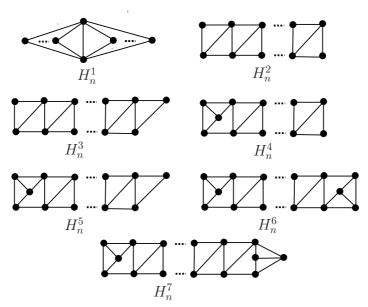


Figure 1. The graph class  $\mathcal{G}_n$ .

Now, we introduce two new graph classes. For  $n \geq 5$ ,  $\mathcal{G}_n = \{H_n^1, H_n^2, H_n^3, H_n^4, H_n^5, H_n^6, H_n^7\}$  is a class of graphs of order n (see Figure 1 for details). Let  $\mathcal{H}_n^i$  ( $1 \leq i \leq 7$ ) be the class of graphs, each of them is obtained from a graph  $H_r^i$  by the attaching operation at some vertices of degree 2 on  $H_r^i$ , where  $3 \leq r \leq n$  and  $1 \leq i \leq 7$  (note that  $H_n^i \in \mathcal{H}_n^i$ ).  $\mathcal{G}_n^*$  is another class of graphs that contains  $\mathcal{G}_n$ , given as follows:  $\mathcal{G}_3^* = \{K_3\}$ ,  $\mathcal{G}_4^* = \{K_4\}$ ,  $\mathcal{G}_5^* = \{G_1\} \cup (\bigcup_{i=1}^7 \mathcal{H}_5^i)$ ,  $\mathcal{G}_6^* = \{G_3, G_4\} \cup (\bigcup_{i=1}^7 \mathcal{H}_6^i)$ ,  $\mathcal{G}_7^* = \bigcup_{i=1}^7 \mathcal{H}_7^i$ ,  $\mathcal{G}_8^* = \{G_2\} \cup (\bigcup_{i=1}^7 \mathcal{H}_8^i)$ ,  $\mathcal{G}_n^* = \bigcup_{i=1}^7 \mathcal{H}_n^i$  for  $n \geq 9$  (see Figure 2 for details).

It is easy to see that the following three observations hold.

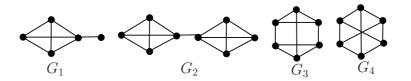


Figure 2. Some graphs in  $\mathcal{G}_n^*$ .

**Observation 1.** Let G and H be two connected graphs, and H' be a subdivision of H. If H' is a subgraph of G and  $\overline{\kappa}_3(H) \geq 3$ , then  $\overline{\kappa}_3(G) \geq 3$ .

**Observation 2.** Let H be a graph, u and v be two vertices in H, and G be a graph obtained from H by attaching a  $K_4$  at u. If there are three internally disjoint paths between u and v in H, then  $\overline{\kappa}_3(G) \geq 3$ .

**Observation 3.** For each graph in Figure 3,  $\overline{\kappa}_3(G) \geq 3$ .

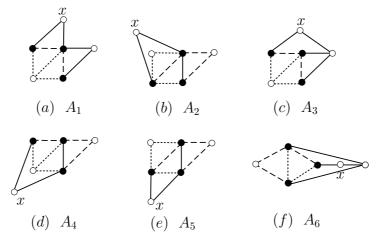


Figure 3. Graphs obtained from  $H_5^3$  by adding a vertex of degree 2.

**Lemma 1.** Let G be a graph containing a clique  $K_4$ . If there exists a path connecting two vertices of  $K_4$  in  $G \setminus E[K_4]$ , then  $\overline{\kappa}_3(G) \geq 3$ .

Proof. Let  $K_4$  be a complete subgraph of G with vertex set  $\{u_1, \dots, u_4\}$ , and P be a path connecting  $u_1$  and  $u_2$  in  $G \setminus E[K_4]$ . It suffices to show that there exists a set S such that  $\kappa(S) \geq 3$ . Choose  $S = \{u_1, u_2, u_3\}$ , clearly,  $T_1 = u_1u_2 \cup u_1u_3$  and  $T_2 = u_4u_1 \cup u_4u_2 \cup u_4u_3$  and  $T_3 = P \cup u_2u_3$  form three internally disjoint S-trees. Thus,  $\overline{\kappa}_3(G) \geq 3$ .

Similarly, the following lemma holds.

**Lemma 2.** Let G be a graph obtained from  $H_5^4$  by adding a vertex x and two edges xy, xz, where  $y, z \in V(H_5^4)$  (see Figure 4). Then  $\overline{\kappa}_3(G) \geq 3$  or  $G = H_6^5$ .

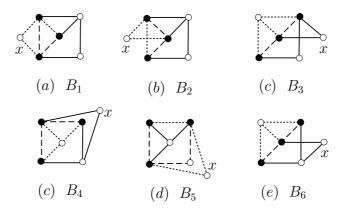


Figure 4. Graphs obtained from  $H_5^4$  by adding a vertex of degree 2.

**Lemma 3.** For any connected graph G with order 5 and size 8,  $\overline{\kappa}_3(G) \geq 3$ .

*Proof.* We claim that  $2 \le \delta(G) \le 3$ . In fact, if  $\delta(G) = 1$ , without loss of generality, let d(x) = 1, then |V(G - x)| = 4 and e(G - x) = 7, a contradiction. If  $\delta(G) \ge 4$ , then  $16 = 2e(G) \ge 5\delta \ge 20$ , a contradiction.

If  $\delta(G)=2$ , without loss of generality, let d(x)=2, then |V(G-x)|=4 and e(G-x)=6, which implies that G-x is a clique of order 4. From Lemma 1,  $\overline{\kappa}_3(G)\geq 3$ . So we suppose that  $\delta(G)=3$ . Since |V(G)|=5,  $\Delta(G)\leq 4$ . Since  $\frac{2e(G)}{|V(G)|}=\frac{16}{5}$ , there exists a vertex x in G such that d(x)=4. Set  $N_G(x)=\{u_1,u_2,u_3,u_4\}$ . Since  $\delta(G-x)\geq 2$  and e(G-x)=4, G-x is a cycle of order 4. Then G is a wheel of order 5 and the trees  $T_1=xu_2\cup xu_4$  and  $T_2=u_3x\cup u_3u_2\cup u_3u_4$  and  $T_3=u_1x\cup u_1u_4\cup u_1u_2$  form 3 internally disjoint  $\{x,u_2,u_4\}$ -trees, namely,  $\overline{\kappa}_3(G)\geq 3$ .

**Lemma 4.** For any connected graph G of order 5 and size 7,  $\overline{\kappa}_3(G) \leq 2$  and  $G \in \{G_1, H_5^1, H_5^3, H_5^4\}$ .

Proof. For each  $S \subseteq V(G)$  with |S| = 3, a tree with two edges connecting S is called Type I, and the others with at least 3 edges are called Type II. One can see that three internally disjoint trees connecting S will use at least 8 edges since we only have one tree of Type I. So if G is a connected graph of order 5 and size 7, then  $\overline{\kappa}_3(G) \leq 2$ .

Suppose that  $\delta(G) \geq 3$ . Then  $14 = 2e(G) \geq 5\delta \geq 15$ , a contradiction. Thus,  $\delta(G) \leq 2$ . If  $\delta(G) = 1$ , without loss of generality, let d(x) = 1, then |V(G-x)| = 4 and e(G-x) = 6, which implies that G - x is a clique of order 4. Then  $G = G_1$  (see Figure 2).

If  $\delta(G) = 2$ , without loss of generality, let d(x) = 2, then |V(G-x)| = 4 and e(G-x) = 5, which implies that G - x is graph obtained from  $K_4$  by deleting an edge. Thus,  $G \in \{H_5^1, H_5^3, H_5^4\}$  (see Figure 1).

**Lemma 5.** For any connected graph G with order 6 and size 10,  $\overline{\kappa}_3(G) \geq 3$ .

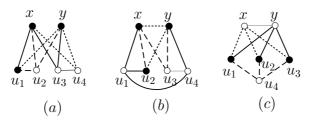


Figure 5. Graphs for Lemma 5.

*Proof.* If there exists a vertex  $x \in V(G)$  such that  $d(x) \leq 2$ , then |V(G - x)| = 5 and  $e(G - x) \geq 8$ . From Lemma 3,  $\overline{\kappa}_3(G - x) \geq 3$ , which results in  $\overline{\kappa}_3(G) \geq 3$ .

Now we assume that  $\delta(G) \geq 3$ . If there exists a vertex  $x \in V(G)$  such that d(x) = 5, then |V(G-x)| = 5 and e(G-x) = 5. Since  $\delta(G-x) \geq 2$ , G-x is a cycle of order 5, which implies that G is wheel of order 6. Clearly,  $\overline{\kappa}_3(G) \geq 3$ . So we can assume that  $\Delta(G) \leq 4$ . Let t be the number of vertices of degree 4 in G. Since 20 = 2e(G) = 4t + 3(6-t), t = 2, namely, there exist two vertices  $x, y \in V(G)$  such that d(x) = d(y) = 4.

If  $xy \notin E(G)$ , then G must be the graph shown in Figure 5 (a) since  $\delta(G) \geq 3$ . Then the trees  $T_1 = u_2x \cup u_2y \cup u_2u_1$  and  $T_2 = u_1x \cup xu_3 \cup u_3y$  and  $T_3 = u_1y \cup yu_4 \cup u_4x$  form three  $\{x, y, u_1\}$ -trees, namely,  $\overline{\kappa}_3(G) \geq 3$ .

If  $xy \in E(G)$  and  $N_{G-xy}(x) \neq N_{G-xy}(y)$ , then G must be the graph shown in Figure 5 (b) since  $\delta(G) \geq 3$ . Then the trees  $T_1 = u_2x \cup xu_3 \cup u_3y$  and  $T_2 = yx \cup yu_2$  and  $T_3 = u_1x \cup u_1u_2 \cup u_1u_4 \cup u_4y$  form three  $\{x, y, u_2\}$ -trees, namely,  $\overline{\kappa}_3(G) \geq 3$ .

If  $xy \in E(G)$  and  $N_{G-xy}(x) = N_{G-xy}(y)$ , then G must be the graph shown in Figure 5 (c) since  $\delta(G) \geq 3$ . Then the trees  $T_1 = xu_1 \cup xu_2 \cup xu_3$  and  $T_2 = yu_1 \cup yu_2 \cup yu_3$  and  $T_3 = u_4u_1 \cup u_4u_2 \cup u_4u_3$  form three  $\{u_1, u_2, u_3\}$ -trees, namely,  $\overline{\kappa}_3(G) \geq 3$ .

**Lemma 6.** Let G be a connected graph of order 6 and size 9. If  $\overline{\kappa}_3(G) \leq 2$ , then  $G \in \{G_3, G_4\}$  or  $G \in \{H_6^1, H_6^2, H_6^5\}$  or  $G \in \mathcal{H}_6^3$ .

Proof. We claim that  $2 \le \delta(G) \le 3$ . Suppose that  $\delta(G) \ge 4$ . Then  $18 = 2e(G) \ge 6\delta \ge 24$ , a contradiction. Suppose that  $\delta(G) = 1$ , without loss of generality, let d(x) = 1, then |V(G-x)| = 5 and e(G-x) = 8. From Lemma 3,  $\overline{\kappa}_3(G-x) \ge 3$ . Clearly,  $\overline{\kappa}_3(G) \ge 3$  by Observation 1.

If  $\delta(G)=3$ , then G is 3-regular. It is easy to check that  $G=G_3$  or  $G=G_4$ . In the following, we assume that  $\delta(G)=2$ . Without loss of generality, set d(x)=2, then |V(G-x)|=5 and e(G-x)=7, which implies that  $G-x=G_1$  or  $G-x\in\{H_5^1,H_5^3,H_5^4\}$  by Lemma 4.

If  $G - x = G_1$ , then  $G \in \mathcal{H}_6^3$ . If  $G - x = H_5^1$ , then  $G = H_6^1$  or  $G = A_2$  or  $G = A_6$  (see Figure 3), which results in  $G = H_6^1$ . If  $G - x = H_5^3$ , then  $G = H_6^2$  or  $G \in \mathcal{H}_6^3$ 

 $\{A_1, A_2, A_3, A_4, A_5\}$ , which implies that  $G = H_6^2$  by Observation 3. If  $G - x = H_5^4$ , then  $G = H_6^5$  or  $G \in \{B_1, B_2, B_3, B_4, B_5, B_6\}$ , which implies that  $G = H_6^5$  by Lemma 2.

#### 3 Main results

In this section, we give our main results. We first need some more lemmas. In Lemma 3 through Lemma 6, we have dealt with the cases  $n \le 6$ . Now we assume that  $n \ge 7$ .

**Lemma 7.** Let G' be a graph obtained from G by deleting a vertex of degree 2. If  $G' \in \mathcal{G}_{n-1}^*(n \geq 7)$ , then  $G \in \mathcal{G}_n^*$  or  $\overline{\kappa}_3(G) \geq 3$ .

Proof. Let x be the deleted vertex of degree 2 in G. Since  $n \geq 7$ ,  $G' \notin \{K_3, K_4, G_1\}$ . From Observation 2 and Lemma 1, if  $G' \in \{G_2, G_3, G_4\}$ , then we can check that  $G \in \mathcal{H}_9^3$  or  $\overline{\kappa}_3(G) \geq 3$ . From now on, we consider  $G' \in \mathcal{G}_{n-1}^* \setminus \{G_2, G_3, G_4\}$ .

Case 1. 
$$G' \in \mathcal{H}^1_{n-1}$$
.

First we consider the case that there is no  $K_4$  in G'. Thus,  $G' = H_{n-1}^1$ . Since  $n \geq 7$ ,  $G = H_n^1 \in \mathcal{H}_n^1$  or G must contain an  $A_2$  or  $A_6$  as its subgraph, which implies that  $G \in \mathcal{G}_n^*$  or  $\overline{\kappa}_3(G) \geq 3$  by Observation 1.

Next we consider the case that there exists at least one  $K_4$  in G'. For each  $K_4$ , if  $N_G(x) \cap (K_4 \setminus y) \neq \emptyset$ , then we have  $\overline{\kappa}_3(G) \geq 3$  by Lemma 1, where y is an attaching vertex in G'. Suppose that  $N_G(x) \cap (K_4 \setminus y) = \emptyset$  for all  $K_4 \subseteq G'$ . Clearly, we can consider the graph  $G' \in \mathcal{H}^1_{n-1}$  as the join of  $K_2$  and r isolated vertices, and then doing the attaching operation at some vertices of degree 2 on  $K_2 \vee rK_1$ . So, we consider  $N(x) \subseteq K_2 \vee rK_1$  ( $r \geq 1$ ). For  $r \geq 3$ , it follows that  $G \in \mathcal{H}^1_n$  or G contains the graph  $A_2$  or  $A_6$  as its subgraph, which implies that  $G \in \mathcal{G}^*_n$  or  $\overline{\kappa}_3(G) \geq 3$ .

For r=2, from Lemma 1, we only need to consider  $N(x) \subseteq V(K_2 \vee 2K_1)$ . By Observation 2,  $G \in \mathcal{H}^1_{11}$  or  $G \in \mathcal{H}^1_{8}$  or  $G \in \mathcal{H}^3_{8}$  or  $\overline{\kappa}_3(G) \geq 3$ . For r=1,  $K_2 \vee K_1$  is a triangle and G' is a graph obtained from this triangle by the attaching operation at two or three vertices of this triangle since  $n \geq 7$ . Thus, from Observation 2 and Lemma 1, we can get  $\overline{\kappa}_3(G) \geq 3$ .

Case 2. 
$$G' \in \mathcal{H}_{n-1}^2$$
 or  $G' \in \mathcal{H}_{n-1}^3$ .

We only prove the conclusion for  $G' \in \mathcal{H}^2_{n-1}$ , the same can be showed for  $G' \in \mathcal{H}^3_{n-1}$  similarly. Without loss of generality, let  $\mathcal{H}^2_{n-1}$  be the graph class obtained from  $H^2_r$  by the attaching operation at some vertices of degree 2 on  $H^2_r$ , where r = n - 1, n - 4, n - 7. One can see that  $u_1$  and  $v_{\frac{r}{2}}$  can be the attaching vertices. From Lemma 1, we only need to consider the case that  $N_G(x) \subseteq H^2_r$ . Set  $N_G(x) = \{x_1, x_2\}$ . Thus  $x_1, x_2 \in V(H^2_r)$ .

If  $d_{H_r^2}(x_1) = d_{H_r^2}(x_2) = 2$ , without loss of generality, let  $x_1 = u_1$  and  $x_2 = v_{\frac{r}{2}}$ , then neither  $u_1$  nor  $v_{\frac{r}{2}}$  is an attaching vertex by Observation 2. We can choose a path  $P := u_3 u_4 \cdots u_{\frac{r}{2}} v_{\frac{r}{2}} x u_1$  connecting  $u_1$  and  $u_3$  in  $G \setminus \{u_2, v_1, v_2\}$ . Thus, G contains a subdivision of  $A_3$  as its subgraph (see Figures 3 and 6 (a)), which results in  $\overline{\kappa}_3(G) \geq 3$ .

If  $d_{H_r^2}(x_1) = 2$  and  $d_{H_r^2}(x_2) = 3$ , without loss of generality, let  $x_1 = u_1$ , then we can find a path connecting  $u_1$  and  $u_3$  and obtain  $\overline{\kappa}_3(G) \geq 3$  for  $x_2 \in H_r \setminus \{u_2, v_1, v_2\}$ . For  $x_2 = u_2$  and  $x_2 = v_2$ , G contains an  $A_1$  and  $A_4$  as its subgraph, which implies  $\overline{\kappa}_3(G) \geq 3$ . If  $x_2 = v_1$ , then  $G \in \mathcal{H}_n^3$  and so  $G \in \mathcal{G}_n^*$ .

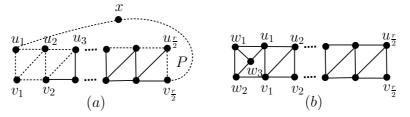


Figure 6. Graphs for Lemma 7.

For  $3 \leq d_{H_r^2}(x_i) \leq 4$  (i = 1, 2), one can check that G contains a subdivision of one of  $\{A_1, A_2, \dots, A_5\}$ , which implies  $\overline{\kappa}_3(G) \geq 3$ .

Case 3. 
$$G' \in \mathcal{H}_{n-1}^4$$
 or  $G' \in \mathcal{H}_{n-1}^5$ .

Note that only  $v_{\frac{r}{2}}$  can be an attaching vertex in  $H_r^4$  (see Figure 6 (b)), where r=n-1,n-4. From Lemma 1, we only need to consider  $N(x)\subseteq H_r^4$ . We can consider  $H_r^4$  as a graph obtained from  $H_5^4$  and  $H_{r-3}^2$  by identifying one edge  $u_1v_1$  in each of them.

If  $N(x) \cap \{w_1, w_2, w_3\} \neq \emptyset$ , then G contains a subdivision of one of  $\{B_1, \dots, B_6\}$  as its subgraph. So,  $\overline{\kappa}_3(G) \geq 3$  by Lemma 2. Now we can assume that  $N(x) \cap \{w_1, w_2, w_3\} = \emptyset$ . For  $|\{u_1, v_1\} \cap N(x)| = 2$ , G contains an  $A_2$  as its subgraph, which results in  $\overline{\kappa}_3(G) \geq 3$ . For  $|\{u_{\frac{r}{2}}, v_{\frac{r}{2}}\} \cap N(x)| = 2$ , if  $v_{\frac{r}{2}}$  is not an attaching vertex in  $H_r^4$ , then  $G \in \mathcal{H}_n^5$ ; if  $v_{\frac{r}{2}}$  is an attaching vertex in  $H_r^4$ , then  $\overline{\kappa}_3(G) \geq 3$  by Observation 2. For the other cases, we can also check that  $\overline{\kappa}_3(G) \geq 3$ .

Case 4. 
$$G' \in \mathcal{H}_{n-1}^6$$
 or  $G' \in \mathcal{H}_{n-1}^7$ .

From the above Case 2 and Lemma 2, we can get  $\overline{\kappa}_3(G) \geq 3$  in this case.

Similarly, we have the following lemma.

**Lemma 8.** Let G' be a graph obtained from G by deleting a vertex of degree 3. If  $G' \in \mathcal{G}_{n-1}^*(n \geq 7)$ , then  $\overline{\kappa}_3(G) \geq 3$ .

**Lemma 9.** Let G be a graph obtained from G' by deleting an edge  $e = x_1x_2$  and adding a vertex x such that  $N_G(x) = \{x_1, x_2, x_3\}$ , where  $x_3 \in V(G') \setminus \{x_1, x_2\}$ . If  $G' \in \mathcal{G}_{n-1}^*(n \geq 7)$ , then  $G \in \mathcal{G}_n^*$  or  $\overline{\kappa}_3(G) \geq 3$ .

*Proof.* Since  $n \geq 7$ ,  $G' \notin \{K_3, K_4, G_1\}$ . From Observation 2 and Lemma 1, if  $G' \in \{G_2, G_3, G_4\}$ , we can easily check that  $\overline{\kappa}_3(G) \geq 3$ . Thus we consider  $G' \in \mathcal{G}_{n-1}^* \setminus \{G_2, G_3, G_4\}$ .

We claim that if there exists a  $K_4$  in G' such that  $e \in E(K_4)$ , then  $\overline{\kappa}_3(G) \geq 3$ . Let  $V(K_4) = \{u_1, u_2, u_3, u_4\}$ . Without loss of generality, let  $x_1 = u_2$  and  $x_2 = u_4$ .

If  $x_3 \in V(K_4)$ , then  $x_3 = u_1$  or  $x_3 = u_3$ . It follows that  $\overline{\kappa}_3(G) \geq 3$  (see Figure 7 (a)). So we assume that  $x_3 \notin V(K_4)$ . From Lemma 1, if  $x_3$  belongs to another clique of order 4 such that  $x_3$  is not an attaching vertex, then  $\overline{\kappa}_3(G) \geq 3$ . So, we only need to consider  $x_3 \in H_r^i (1 \leq i \leq 7)$ . If neither  $u_2$  nor  $u_4$  is an attaching vertex, then  $u_1$  or  $u_3$  is an attaching vertex, say  $u_1$ . Then there must exist a path P connecting  $x_3$  and  $u_1$  such that  $u_2, u_3, u_4 \notin V(P)$  since  $H_r^i (1 \leq i \leq 7)$  is connected. Then the trees  $T_1 = xu_2 \cup xu_4 \cup P$  and  $T_2 = u_1u_2 \cup u_1u_4$  and  $T_3 = u_3u_1 \cup u_3u_2 \cup u_3u_4$  form three  $\{u_1, u_2, u_4\}$ -trees, namely,  $\overline{\kappa}_3(G) \geq 3$  (see Figure 7 (b)).

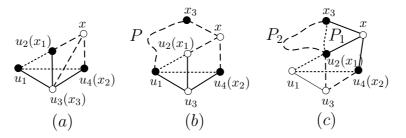


Figure 7. Graphs for the claim.

Suppose that one of  $\{u_2, u_4\}$  is an attaching vertex, say  $u_2$ . Thus there must exist two paths  $P_1$  and  $P_2$  connecting  $x_3$  and  $u_2$  in  $H_r^i$  since  $H_r^i$  is 2-connected. Then the trees  $T_1 = xu_2 \cup xu_4 \cup xx_3$  and  $T_2 = u_4u_1 \cup u_1u_2 \cup P_1$  and  $T_3 = u_4u_3 \cup u_3u_2 \cup P_2$  form three internally disjoint  $\{u_2, u_3, x_3\}$ -trees, namely,  $\overline{\kappa}_3(G) \geq 3$  (see Figure 7 (c)).

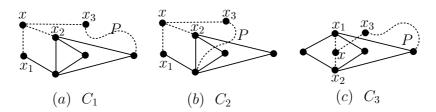


Figure 8. Graphs for Lemma 9.

Now we consider  $e \notin E(K_4)$ . Thus  $e \in E(H_r^i)(1 \le i \le 7)$ . We only consider  $e \in E(H_r^1)$ , and for  $e \in E(H_r^i)(2 \le i \le 7)$  one can also check that  $G \in \mathcal{G}_n^*$  or  $\overline{\kappa}_3(G) \ge 3$ . Since  $H_r^1 = K_2 \vee (r-2)K_1$ , we suppose that  $e \in E(K_2 \vee (r-2)K_1)(r \ge 3)$ . For  $r \ge 5$ , G must contain one of  $\{C_1, C_2, C_3\}$  as its subgraph. One can check that  $\overline{\kappa}_3(G) \ge 3$  by Observation

1 (see Figure 8). For r=4,  $G\in\mathcal{H}_8^3$  or  $G\in\mathcal{H}_8^4$  or  $G\in\mathcal{H}_{11}^3$  or  $\overline{\kappa}_3(G)\geq 3$ . For r=3, we can obtain  $G\in\mathcal{H}_7^2$  or  $G\in\mathcal{H}_{10}^2$  or  $\overline{\kappa}_3(G)\geq 3$  by Lemma 1 and Observation 2.

**Theorem 1.** Let G be a connected graph of order n such that  $\overline{\kappa}_3(G) \leq 2$ . Then

$$e(G) \le \begin{cases} 2n-2 & if \ n=4, \\ 2n-3 & if \ n \ge 3, n \ne 4. \end{cases}$$

with equality if and only if  $G \in \mathcal{G}_n^*$ .

*Proof.* We apply induction on  $n(n \ge 7)$ . For n = 3, 4, it is easy to see that  $\mathcal{G}_n^* = \{K_n\}$ . For n = 5 or n = 6, the assertion holds by Lemmas 4 and 6.

Suppose that the assertion holds for graphs of order less than  $n \geq 7$ . Now we show that the assertion holds for  $n \geq 7$ . We claim that  $\delta(G) \leq 3$ . Otherwise,  $\delta(G) \geq 4$ . Let G' be the graph obtained from G by deleting a vertex x such that  $d(x) = \delta(G)$ . Then,  $2e(G') = 2e(G) - 2d(x) = 2e(G) - 2\delta(G) \geq (n-2)\delta(G) \geq 4(n-2)$ . But, by the induction hypothesis, 2e(G') = 2[2(n-1) - 3] = 4n - 10, a contradiction.

If  $\delta(G) = 1$ , then we let G' be the graph obtained from G by deleting a pendant vertex. Then by the induction hypothesis, e(G) = e(G') + 1 = 2(n-1) - 3 + 1 = 2n - 4 < 2n - 3.

If  $\delta(G)=2$ , then we let G' be the graph obtained from G by deleting a vertex of degree 2. If e(G')<2(n-1)-3, then e(G)=e(G')+2<2(n-1)-3+2=2n-3. If e(G')=2(n-1)-3, then e(G)=e(G')+2=2(n-1)-3+2=2n-3. Since  $G'\in\mathcal{G}_{n-1}^*$  and  $\overline{\kappa}_3(G)\leq 2$ , we can obtain  $G\in\mathcal{G}_n^*$  by Lemma 7.

Suppose that  $\delta(G) = 3$ . Let G' be the graph obtained from G by deleting a vertex of degree 3, say x. If e(G') = 2(n-1) - 3, then  $G' \in \mathcal{G}_{n-1}^*$ . We can get a contradiction by Lemma 8. If e(G') < 2(n-1) - 3, then  $e(G) = e(G') + 3 \le 2(n-1) - 4 + 3 = 2n - 3$ .

Now we will show that  $G \in \mathcal{G}_n^*$  for e(G') = 2(n-1)-4. Suppose  $N_G(x) = \{x_1, x_2, x_3\}$ . We have the following two cases to consider.

Case 1.  $G[N_G(x)]$  is not a triangle.

In this case, there exists an edge  $x_ix_j \notin E(G)$   $(1 \le i, j \le 3)$ . Let  $G'' = G' + x_ix_j$ . Then we claim that  $\overline{\kappa}_3(G'') \le 2$ . In fact, suppose that  $\overline{\kappa}_3(G'') \ge 3$ . Then there exists a 3-subset  $S \subseteq V(G)$  such that G'' contains three internally disjoint S-trees, denoted by  $T_1, T_2, T_3$ . If  $x_ix_j \notin \bigcup_{i=1}^3 E(T_i)$ , then  $T_1, T_2, T_3$  are 3 S-trees in G, which contradicts  $\overline{\kappa}_3(G) \le 2$ .

Assume that  $x_i x_j$  belongs to some S-tree, without loss of generality, say  $x_i x_j \in E(T_1)$ , then  $T'_1 = (T_1 - x_i x_j) \cup x_i x \cup x x_j$  is an S-tree in G. Thus,  $T'_1, T_2, T_3$  are three internally disjoint S-trees in G, which implies that  $\overline{\kappa}_3(G) \geq 3$ , a contradiction.

Since e(G'') = e(G') + 1 = 2(n-1) - 3 and  $\overline{\kappa}_3(G) \le 2$ , we have  $G'' \in \mathcal{G}_{n-1}^*$ . Furthermore,  $G \in \mathcal{G}_n^*$  by Lemma 9.

Case 2.  $G[N_G(x)]$  is a triangle.

Clearly,  $G[N_G[x]]$  is a clique of order 4, where  $N_G[x] = N_G(x) \cup \{x\}$ . From Lemma 1, there is no path connecting any two vertices of  $G[N_G[x]]$ . So,  $G \setminus E(G[N_G[x]])$  has three connected components except x. We denote them by  $G_1, G_2, G_3$  (note that  $G_i \neq K_4(i=1,2,3)$ ). By the induction hypothesis,  $e(G) = \sum_{i=1}^3 e(G_i) + 6 \leq 2 \sum_{i=1}^3 |G_i| - 3 = 2(n-1) - 3 < 2n - 3$ .

Corollary 1.

$$f(n; \overline{\kappa}_3 \le 2) = \begin{cases} 2n - 2 & \text{if } n = 4, \\ 2n - 3 & \text{if } n \ge 3, n \ne 4. \end{cases}$$

Corollary 2.

$$h(n; \overline{\kappa}_3 \ge 3) \le \begin{cases} 2n-1 & \text{if } n=4, \\ 2n-2 & \text{if } n \ge 3, n \ne 4. \end{cases}$$

**Remark.** Let  $n, \ell$  be odd, and G' be a graph obtained from a  $(\ell - 3)$ -regular graph of order n - 2 by adding a maximum matching, and  $G = G' \vee K_2$ . Then  $\delta(G) = \ell$ ,  $\overline{\kappa}_3(G) \leq \ell$  and  $e(G) = \frac{\ell+2}{2}(n-2) + \frac{1}{2}$ .

Otherwise, let G' be a  $(\ell-2)$ -regular graph of order n-2 and  $G=G'\vee K_2$ . Then  $\delta(G)=\ell, \overline{\kappa}_3(G)\leq \ell$  and  $e(G)=\frac{\ell+2}{2}(n-2)+1$ .

Therefore,

$$f(n; \overline{\kappa}_3 \leq \ell) \geq \begin{cases} \frac{\ell+2}{2}(n-2) + \frac{1}{2} & for \ n, \ell \ odd, \\ \frac{\ell+2}{2}(n-2) + 1 & otherwise. \end{cases}$$

One can see that for  $\ell = 2$  this bound is the best possible  $(f(n; \overline{\kappa}_3 \leq 2) = 2n - 3)$ . Actually, the graph constructed for this bound is  $K_2 \vee (n-2)K_1$ , which belongs to  $\mathcal{G}_n^*$ .

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